

## Outline

NA48/2: Data statistics, event selection

Ke4 formalism : form factors and phase

Preliminary results (Summer conferences 06)

More on Systematics uncertainties

Short term perspectives

Summary

Study of **Ke4 rare decays** in the "charged"  $\pi^+\pi^-e^\pm\nu$  and "neutral"  $\pi^0\pi^0e^\pm\nu$  final states, both modes with small BR's of few  $10^{-5}$

2003 Run ~50 days

2004 Run ~60 days

Total statistics :

$\sim 4 \cdot 10^9 \pi^+\pi^- \pi^\pm$  decays and  $\sim 1 \cdot 10^8 \pi^0\pi^0 \pi^\pm$  decays

$\sim 1 \cdot 10^6 \pi^+\pi^-e^\pm\nu$  decays and  $\sim 3.7 \cdot 10^4 \pi^0\pi^0e^\pm\nu$  decays

Preliminary results (presented in Summer Conferences 2006):

charged  $K_{e4}$  based on 370000 charged decays (30 days in 2003)

neutral  $K_{e4}$  based on 2003 statistic for Br (~10000 events) and full (2003+2004) for form factors (~30000 events).

Partial statistics from 2003 (30 days/50 days) available with Ke4 selection and background (~0.5%) subtracted

Data selected	K+	K-	all
SS123	236839	131848	368687 (Conf. result)

MC generated (for 2 x 112 runs = different beam/detector conditions)

accepted events	K+	K-	total
SS123	5.5 Millions	3.0 Millions	8.5 Millions

Ratio K+/K- ~ 1.8 both in Data and MC (run by run basis)

Ratio MC/Data ~ 23. both for K+ and K- (run by run basis)

Signal  $\pi^+\pi^-e^\pm\nu$  Topology :

- 3 charged tracks with a "good" vertex,
- two opposite sign pions,
- one electron (LKr info  $E/p$ ),
- some missing energy and  $p_T$  (neutrino)

## Background : main sources

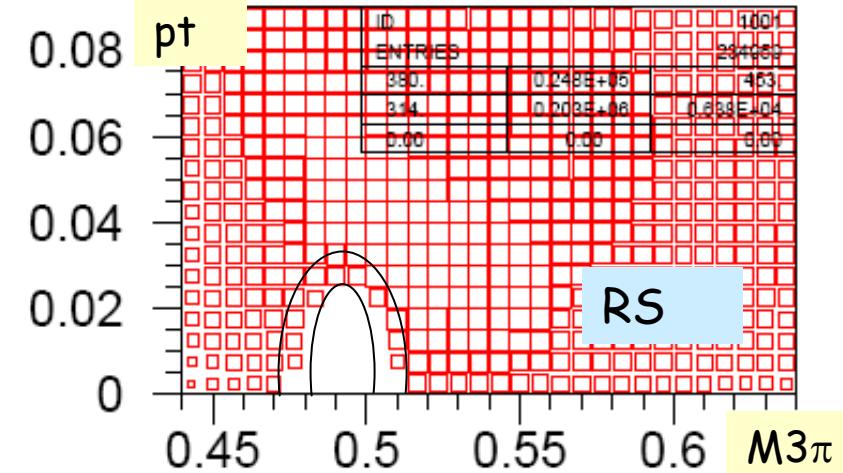
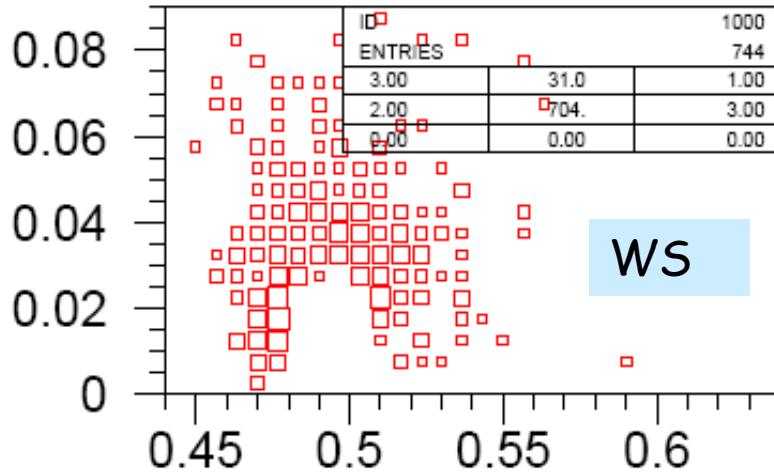
$\pi^\pm \pi^+ \pi^-$  decay    +  $\pi \rightarrow e \nu$  decay (dominates with same topology as signal)  
                                   +  $\pi$  misidentified as  $e$

$\pi^\pm \pi^0(\pi^0)$  decay +  $\pi^0$  Dalitz decay ( $e^+e^-\gamma$ ) with  $e$  misidentified as  $\pi$  and  $\gamma$  (s) undetected

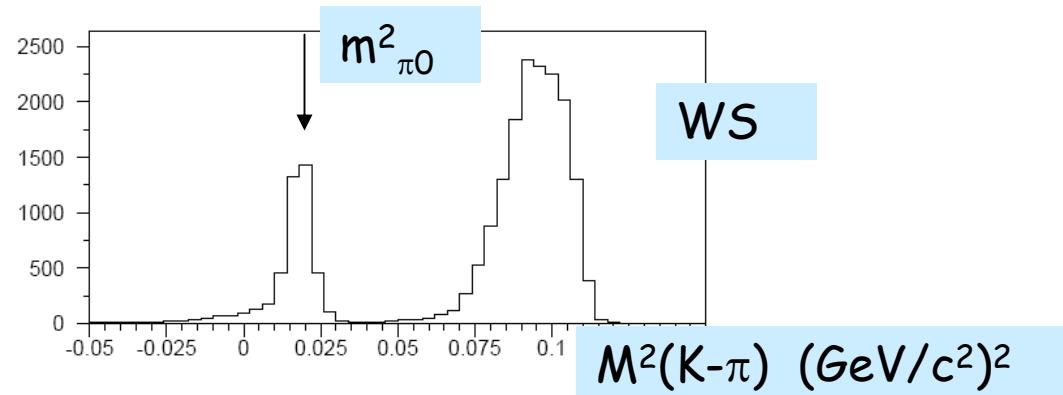
**Control from data sample** : Wrong Sign events have the same total charge as selected events but same sign pions. Depending on the background process, events appear in Right Sign events with the same rate ( $\pi^\pm \pi^0(\pi^0)$ ) as in WS events or twice the rate ( $\pi^\pm \pi^+ \pi^-$ )

## Ke4 charged decays : background rejection

- Against  $\pi^\pm \pi^+ \pi^-$ : elliptic cut in the plane  $(m_{3\pi}, p_T)$  assigning  $m_\pi$  to each particle ( loose, medium and tight ellipses, only a few percents signal loss)



- Against  $\pi^\pm \pi^0_D$ : missing mass to  $(K-\pi^\pm)$  larger than  $m_{\pi^0}$



## Ke4 charged decays : background rejection

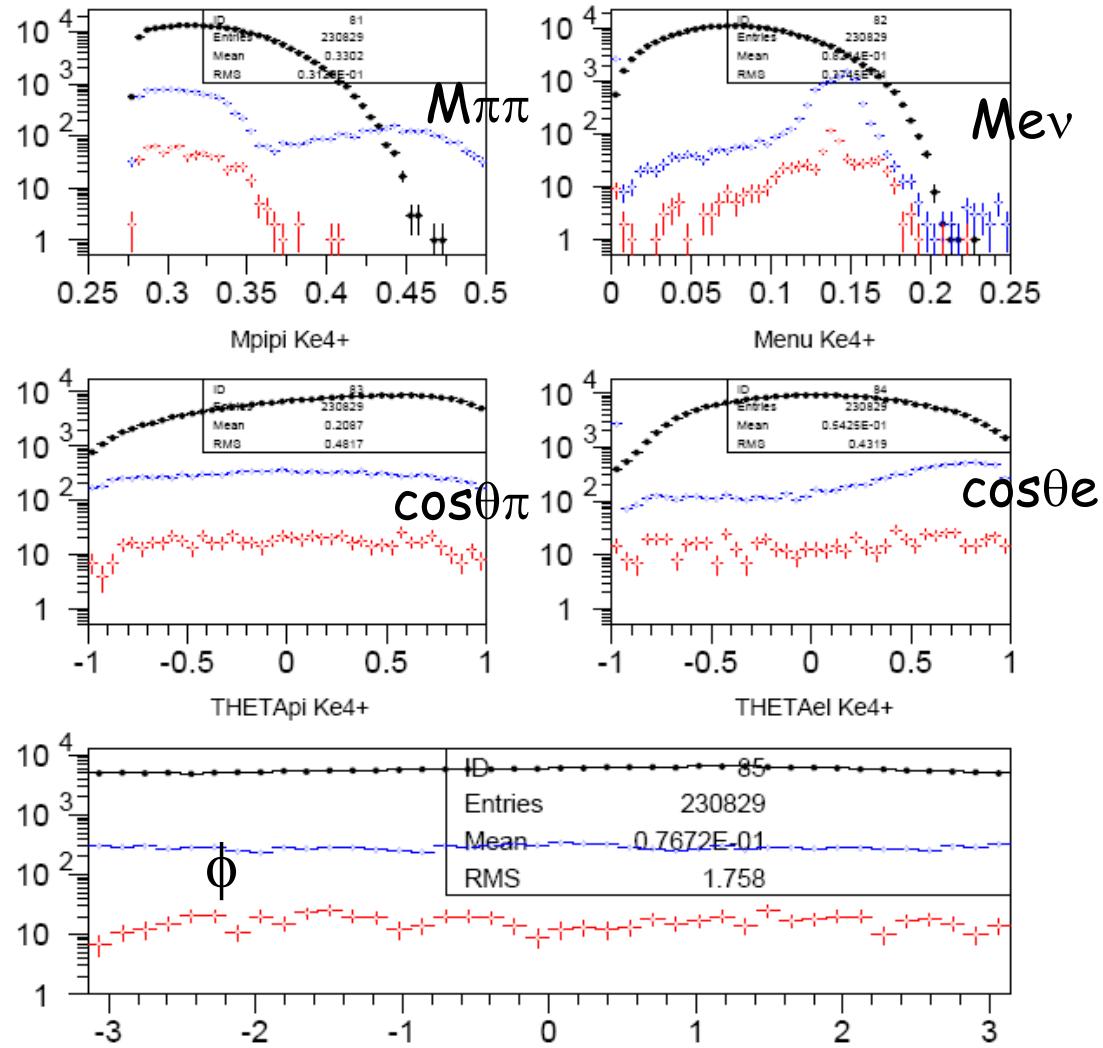
Additional  $e-\pi$  rejection from Linear Discriminant Analysis (LDA) or Neural network (NN) methods using shower shape variables.

Black : Data Right sign

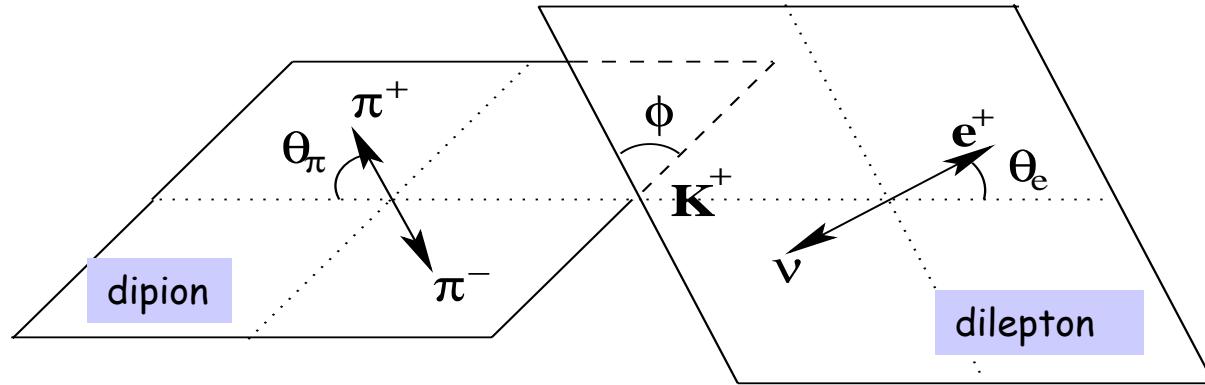
Blue : data Wrong Sign (loose selection)

Red : data Wrong Sign (tight selection)

Total background level can be kept at <1% relative level



The Ke4 decay is described using 5 kinematic variables (as defined by Cabibbo-Maksymowicz):  $S_\pi (M_{\pi\pi}^2)$ ,  $S_e (M_{e\nu}^2)$ ,  $\cos\theta_\pi$ ,  $\cos\theta_e$  and  $\phi$ .



The **form factors** which appear in the decay rate can be measured from a fit to the experimental data distribution of the 5 variables provided the binning is small enough.

Several formulations of the form factors appear in the literature, we have considered two of them, proposed by [Pais and Treiman](#) (Phys.Rev. 168 (1968)) and [Amoros and Bijnens](#) (J.Phys. G25 (1999)) which can be related.

Using a partial wave expansion ( S,P,D ...):

$$F = F_s e^{i\delta s} + F_p e^{i\delta p} \cos\theta_\pi + \text{D-wave term...}$$

$$G = G_p e^{i\delta g} + \text{D-wave term...}$$

$$H = H_p e^{i\delta h} + \text{D-wave term...}$$

Keeping only S and P waves ( $S_\pi$  is small in Ke4) , rotating phases by  $\delta_p$  and assuming  $(\delta_g - \delta_p) = 0$  and  $(\delta_h - \delta_p) = 0$ , only 5 form factors are left:

$$F_s \quad F_p \quad G_p \quad H_p \quad \text{and} \quad \delta = \delta_s - \delta_p$$

developing in powers of  $q^2$  ( $q^2 = (S_\pi/4m_\pi^2) - 1$ ),  $S_e/4m_\pi^2$  ...

$$F_s = f_s + f_s' q^2 + f_s'' q^4 + f_e \left( S_e / 4m_\pi^2 \right) + ..$$

$$F_p = f_p + f_p' q^2 + ..$$

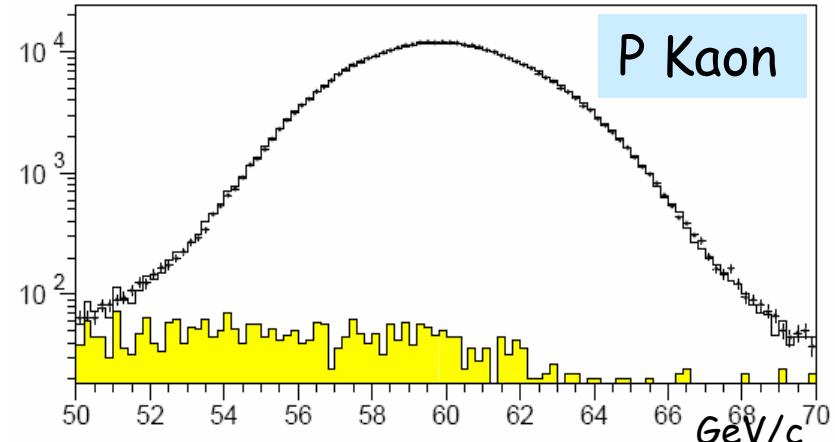
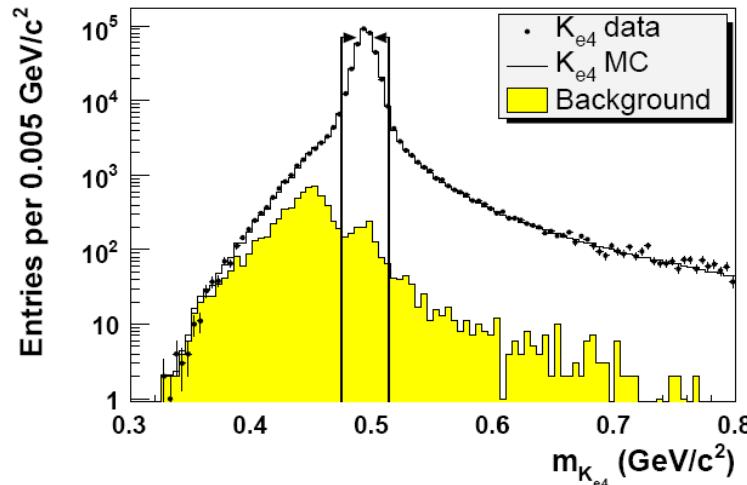
$$G_p = g_p + g_p' q^2 + ..$$

$$H_p = h_p + h_p' q^2 + ..$$

Reconstruction of the C.M. variables : Two options

- impose the Kaon mass, use  $\nu$  constrain to solve energy-momentum conservation equations and get  $P_K$
- impose a 60 GeV/c Kaon momentum, assign the missing  $p_T$  to the  $\nu$  and compute the mass of the system ( $\pi \pi e \nu$ )

Then boost particles to the Kaon rest frame and dipion/dilepton rest frames to get the angular variables.



Using **equal population bins** in the 5-dimension space of the C.M. variables, ( $M_{\pi\pi}$ ,  $M_{ev}$ ,  $\cos\theta_\pi$ ,  $\cos\theta_e$  and  $\phi$ ) one defines a grid of  **$10 \times 5 \times 5 \times 5 \times 12 = 15000$  boxes**.

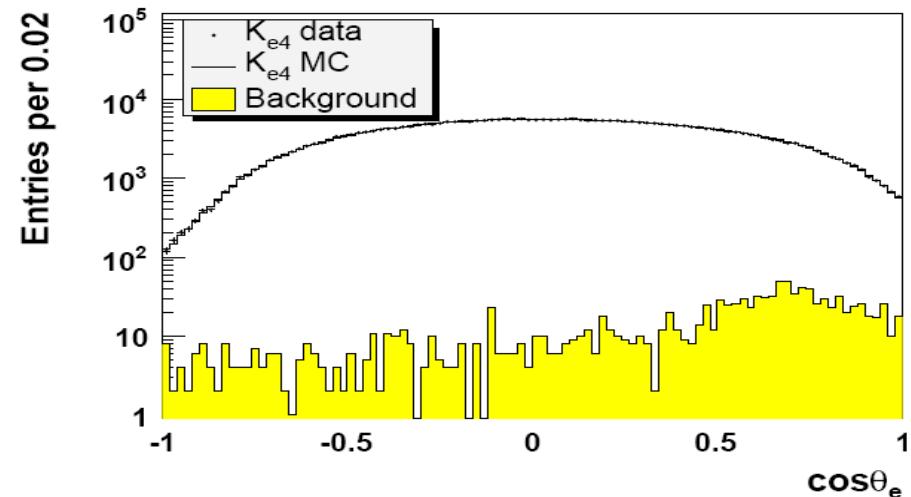
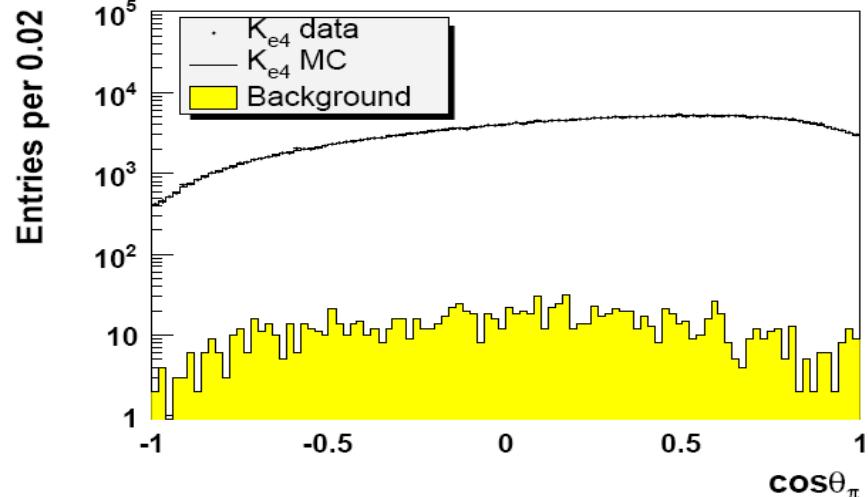
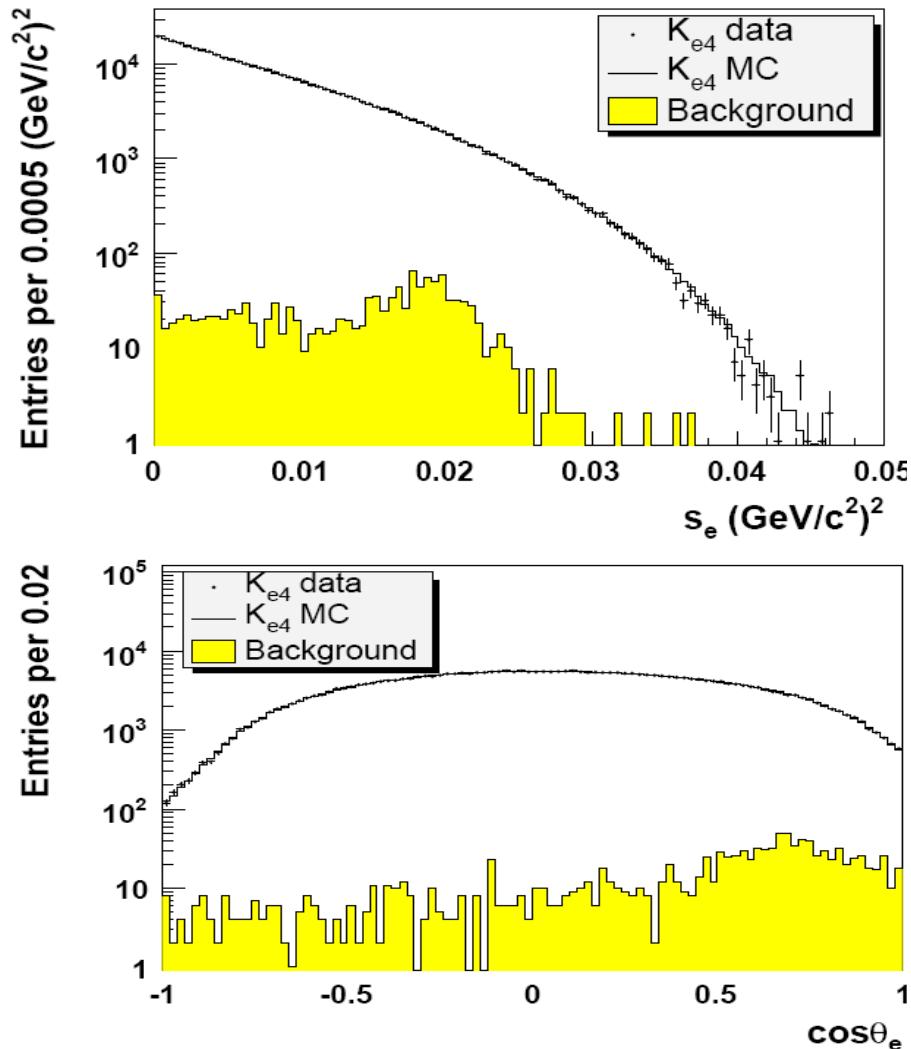
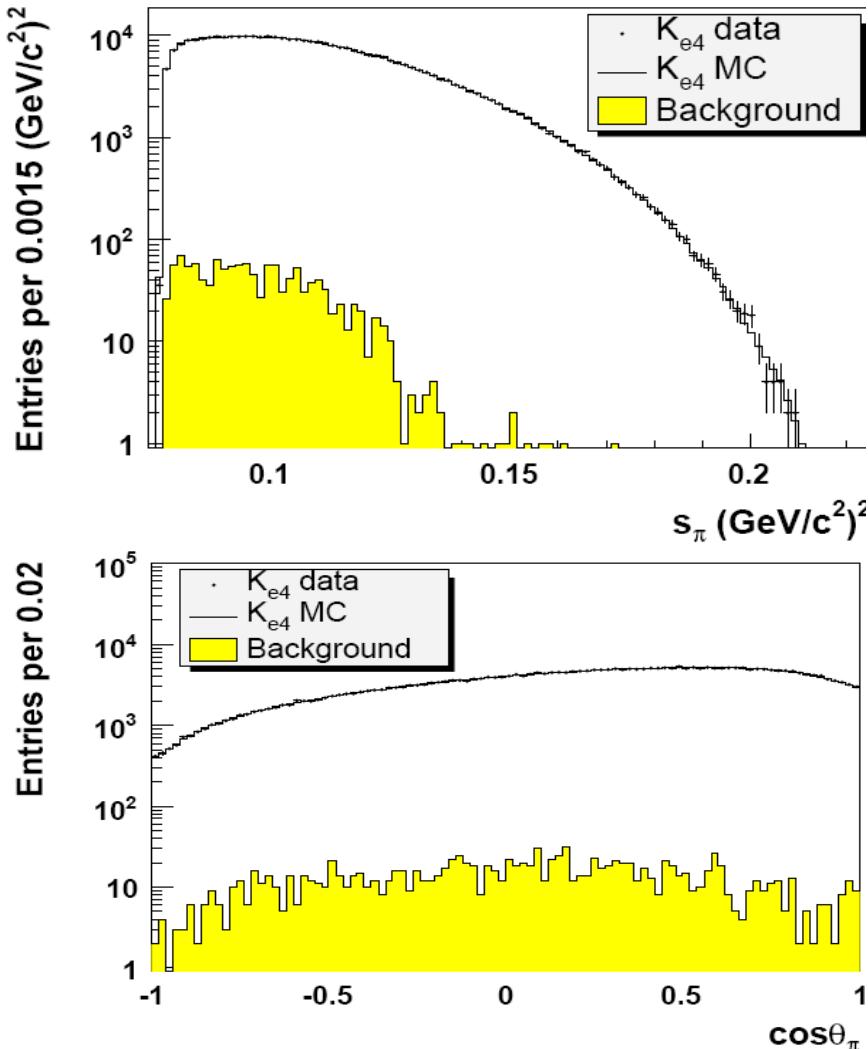
The set of form factor values are used to minimize the  $T^2$ , a log-likelihood estimator well suited for small numbers of **data events/bin  $N_j$**  and taking into account the statistics of the simulation =  **$M_j$  simulated events/bin and  $R_j$  expected events/bin**.

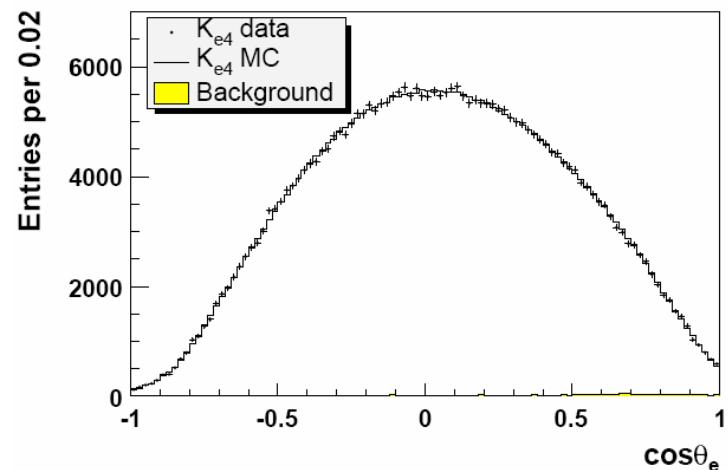
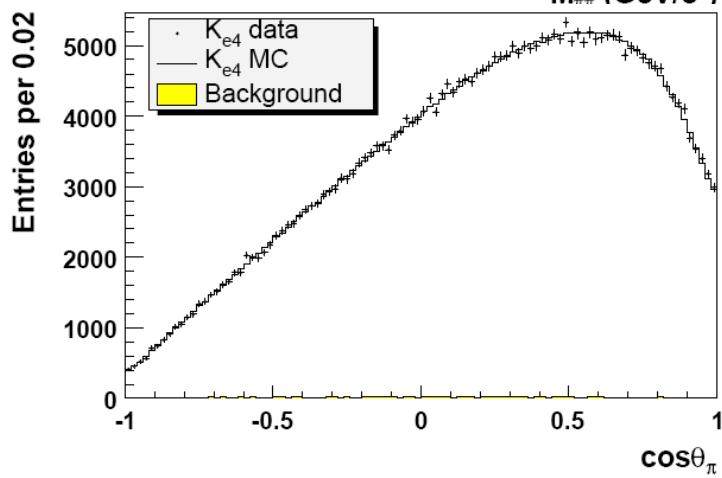
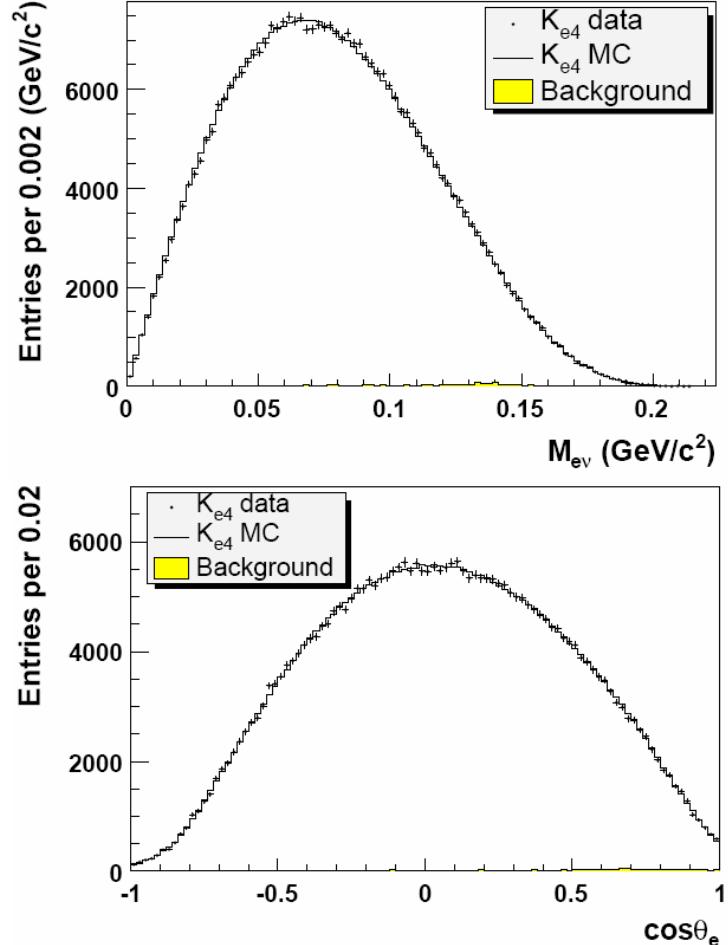
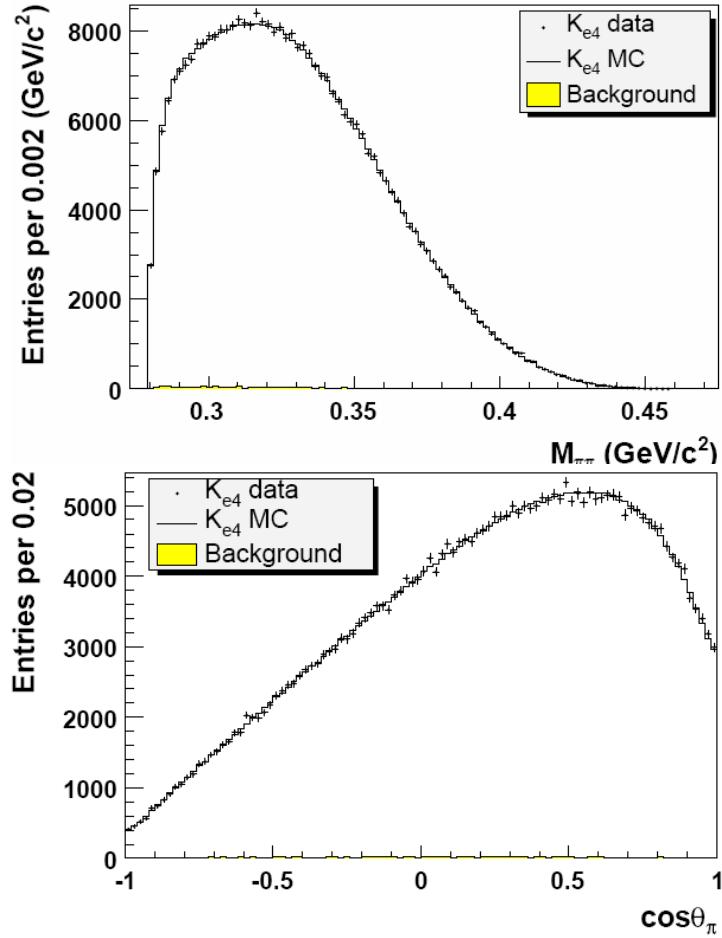
$$T^2 = 2 \sum_{j=1}^S \left\{ N_j \log \left[ \frac{N_j}{R_j} \left( 1 - \frac{1}{M_j + 1} \right) \right] + (N_j + M_j + 1) \log \left[ \frac{1 + \frac{R_j}{M_j}}{1 + \frac{N_j}{M_j + 1}} \right] \right\}$$

For the  $K^+$  sample (235000 events), there are 16 events/bin

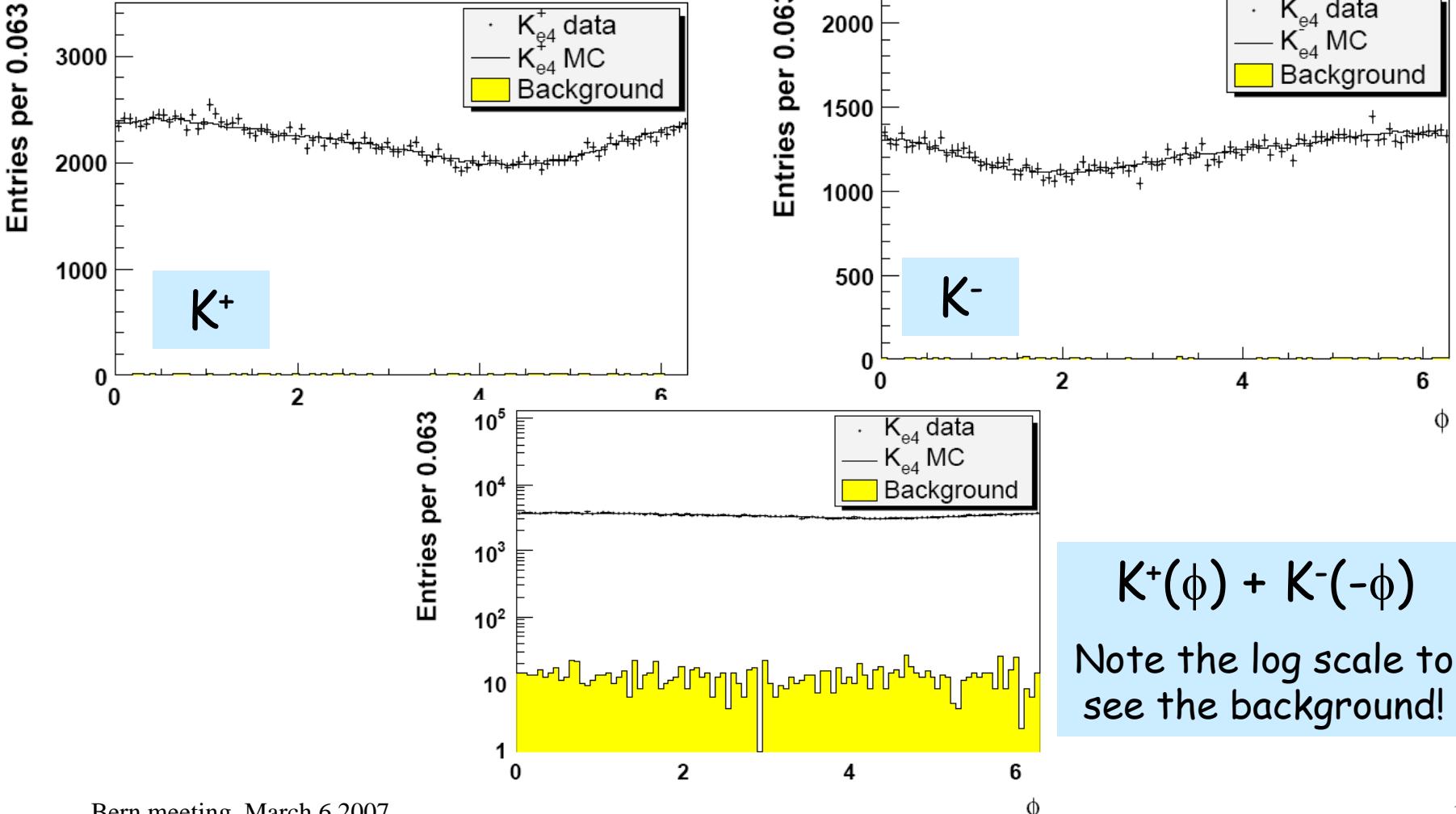
For the  $K^-$  sample (132000 events), there are 9 events/bin

## Ke4 charged decays : 4 C.M. distributions ( log scale)



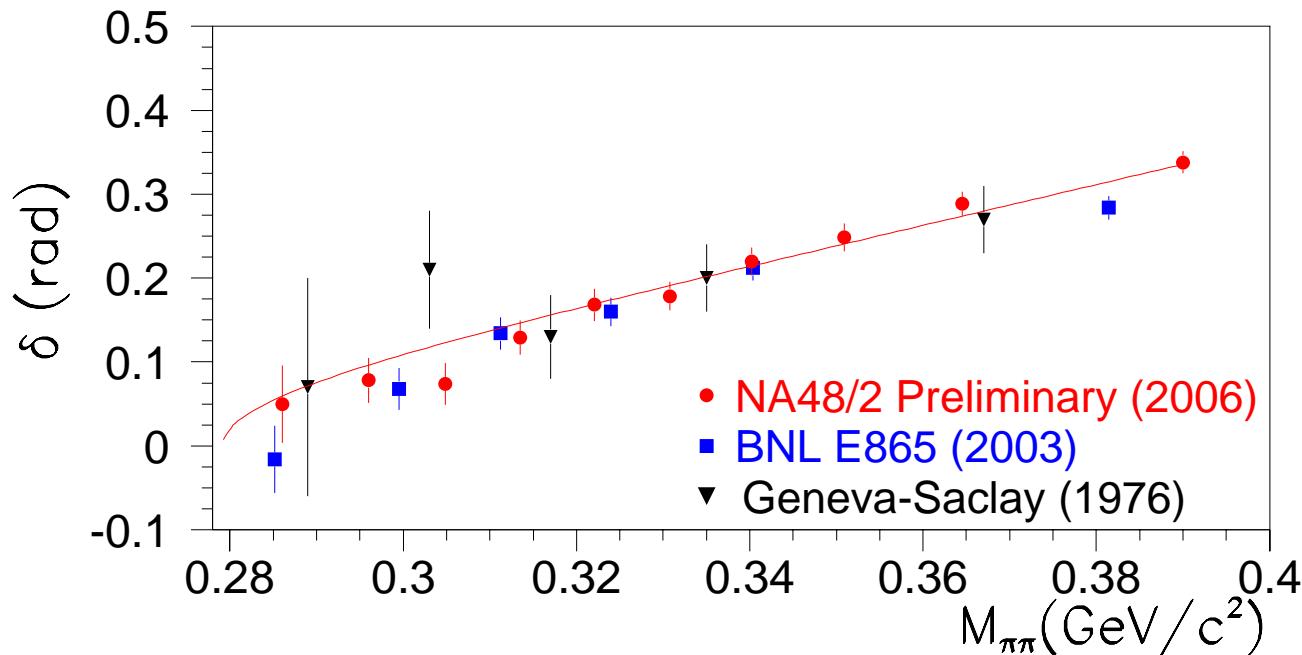


CP symmetry :  $(K^+)$   $\phi$  distribution is opposite of  $(K^-)$   $\phi$  distribution



- **Ten independent fits**, one in each  $M_{\pi\pi}$  bin, assuming ~constant form factors over each bin. This allows a **model independent** analysis.
- **Use** a parameterization to extract  $a_0^0$  with a fixed relation  $a_0^2 = f(a_0^0)$  ( ie **Roy equations** to extrapolate to low energy and constrain to the middle of the **Universal Band** ) (**ACGL** Phys. Rep. 353 (2001), **DFGS** EPJ C24 (2002))

$$a_0^2 = -0.0849 + 0.232a_0^0 - 0.0865(a_0^0)^2 \quad [\pm 0.0088]$$

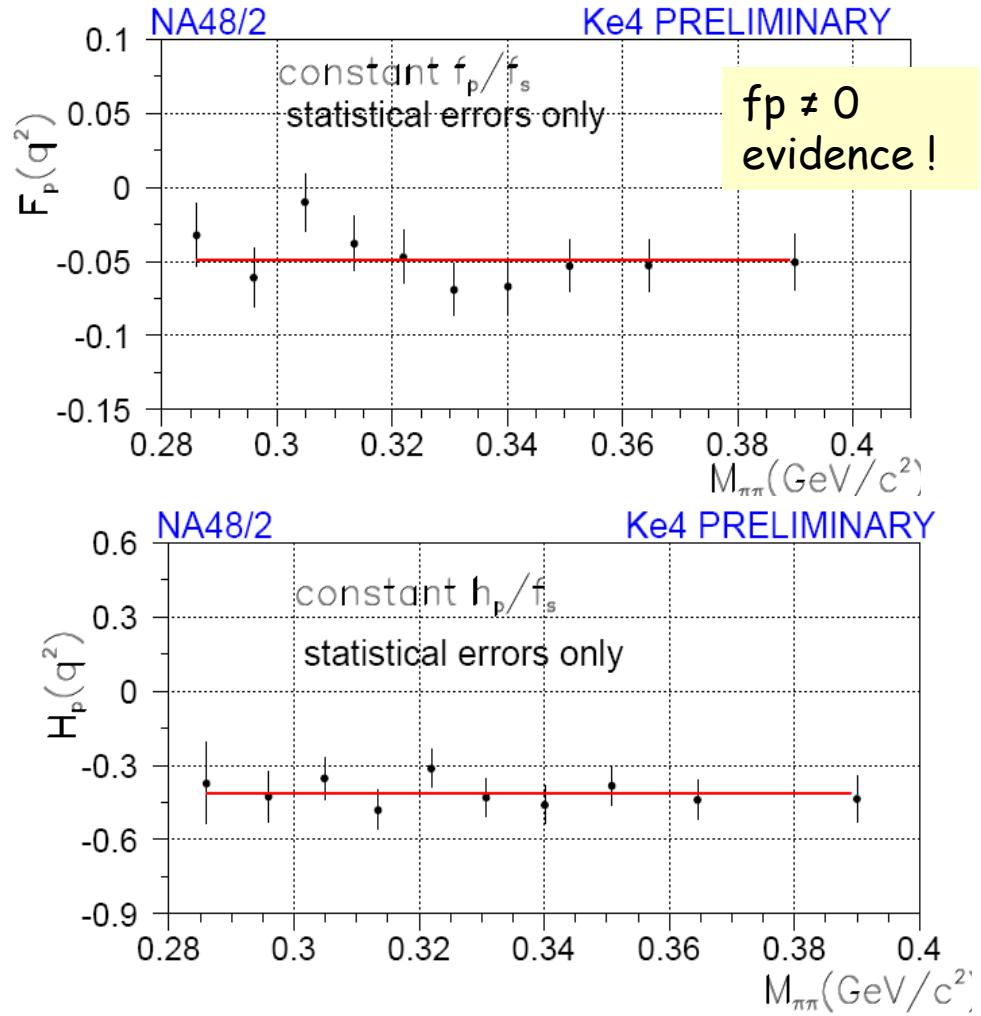
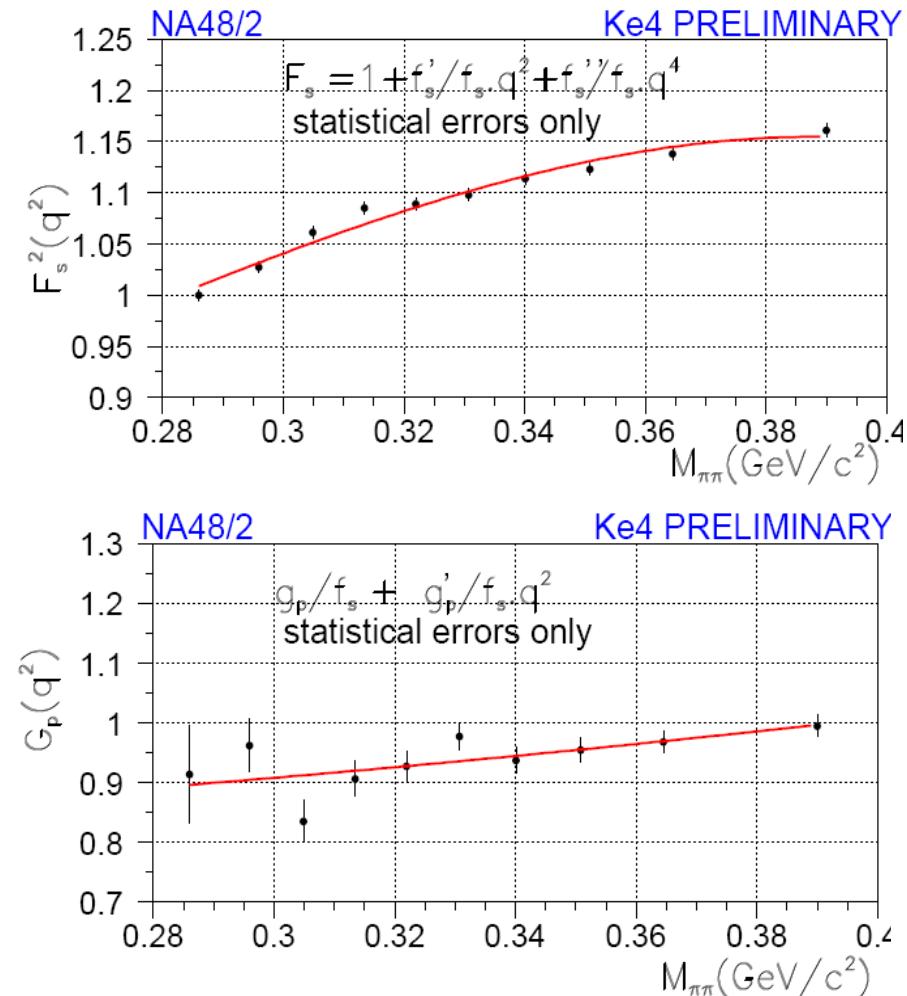


$K^+$  and  $K^-$  result statistical error systematic error

$f_s' / f_s$	0.169	$\pm 0.009$	$\pm 0.034$
$f_s'' / f_s$	-0.091	$\pm 0.009$	$\pm 0.031$
$f_p / f_s$	-0.047	$\pm 0.006$	$\pm 0.008$
$g_p / f_s$	0.891	$\pm 0.019$	$\pm 0.020$
$g_p' / f_s$	0.111	$\pm 0.031$	$\pm 0.032$
$h_p / f_s$	-0.411	$\pm 0.027$	$\pm 0.038$
$a_0^0$ (UB) implying $a_0^2$ (UB)	0.258  -0.031	$\pm 0.008$  $\pm 0.002$	$\pm 0.007 \pm 0.018$ Theory (UB width) $\pm 0.002 \pm 0.009$ Theory (UB width)

## Ke4 charged decays : form factors

Relative form factors and their variations with  $q^2, q^4$  (Se dependence consistent with 0)

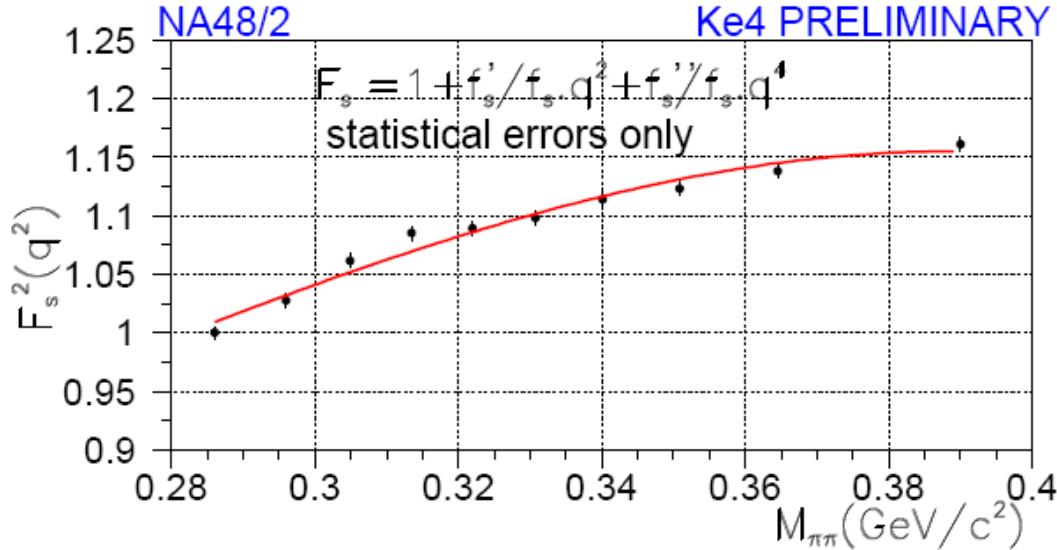


# Investigation of Se dependence for Fs

The  $q^2$  dependence of  $F_s$  was measured through the variation of the normalization  $N_{\text{data}}/N_{\text{mc}}(\text{fit})$  per bin, proportional to  $F_s^2$ .

$$F_s = f_s 0 (1. + f_s' / f_s 0 q^2 + f_s'' / f_s 0 q^4 + f_e / f_s 0 (Se / 4m^2 \pi))$$

$$q^2 = (S\pi / 4m^2 \pi - 1), \quad Se = M^2 \text{ev}$$



The  $f_e$  term was not considered in this approach.

To investigate a possible  $Se$  dependence, the normalization was studied also as a function of  $M_{\text{ev}}$  and a two-dimension distribution was fitted

K+ and K- combined ( results are similar for each sign alone)

3parameter fit (f',f",norm)

$$f'/fs0 = 0.1711 \pm 0.0121$$

$$f''/fs0 = -0.0949 \pm 0.0120$$

$$\chi^2/ndf = 1.90 \quad (ndf=87-3)$$

$$\rho(f',f'') = -0.96$$

4parameter fit (f',f",fe,norm)

$$f'/fs0 = 0.1773 \pm 0.0128$$

$$f''/fs0 = -0.0923 \pm 0.0126$$

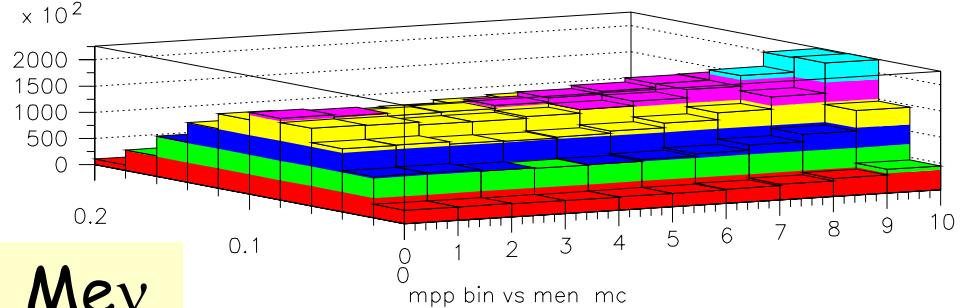
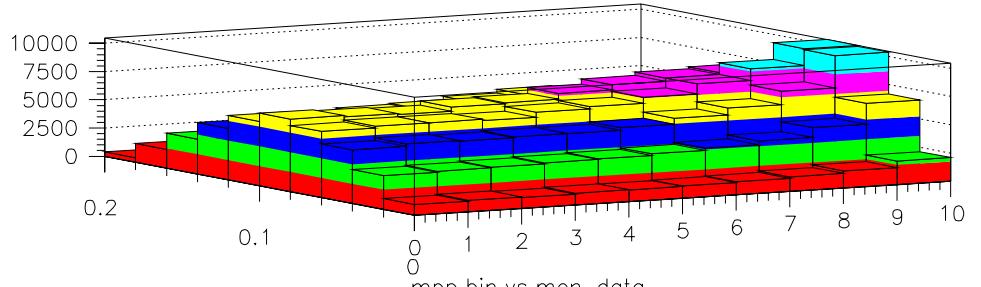
$$fe/fs0 = 0.0811 \pm 0.0109$$

$$\chi^2/ndf = 1.27 \quad (ndf=87-4)$$

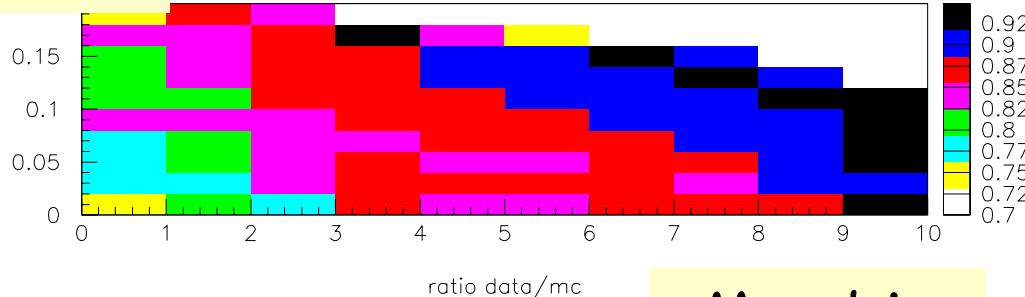
$$\rho(f',f'') = -0.96$$

$$\rho(f',fe) = 0.03$$

$$\rho(f'',fe) = -0.06$$



Mev



M $\pi\pi$  bin

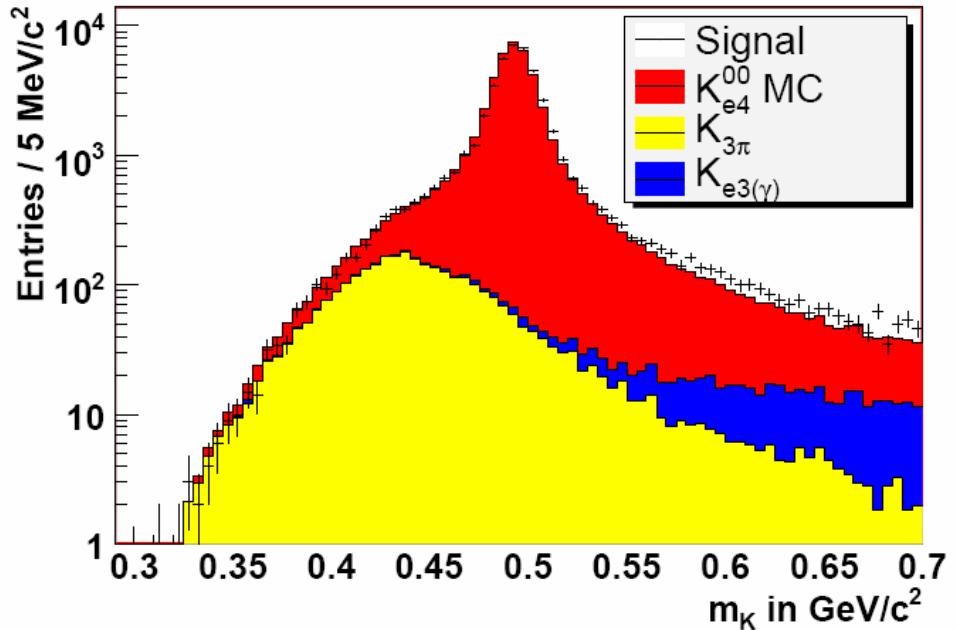
**Signal**  $\pi^0\pi^0e^\pm\nu$  **Topology** : 1 charged track , 2  $\pi^0$ s (reconstructed from 4 $\gamma$ 's in LKr), 1 electron (LKr info E/p and shower width), some missing energy and  $p_T$  (neutrino)

**Background** : main sources

$\pi^\pm\pi^0\pi^0$  decay +  $\pi$  misidentified as  $e$  (dominant)

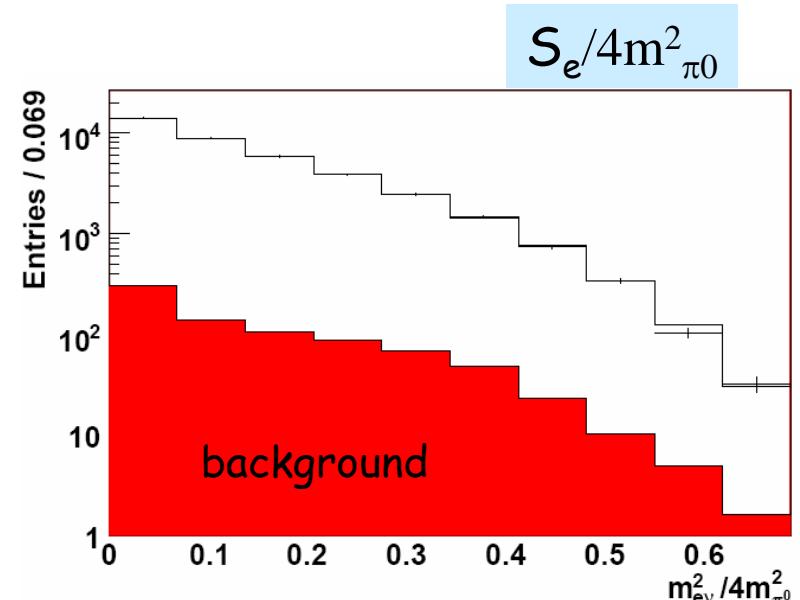
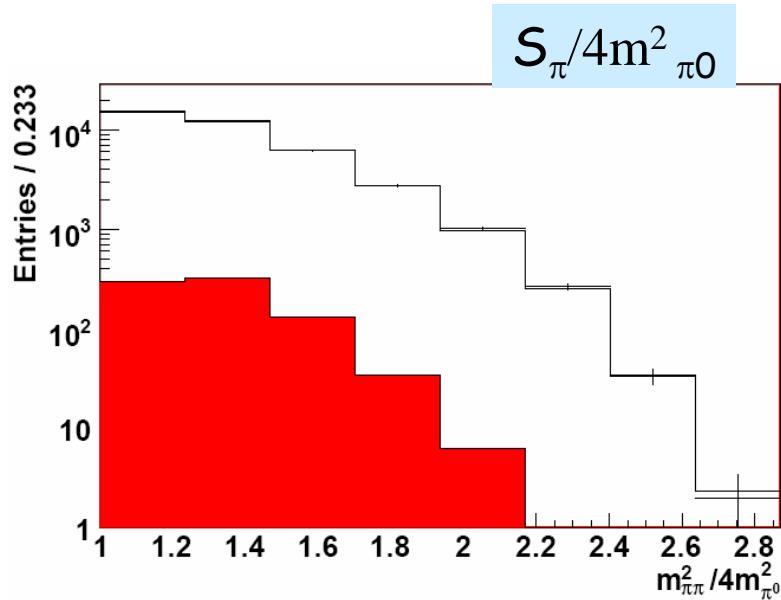
$\pi^0e^\pm\nu\gamma$  decay + accidental  $\gamma$

Total contamination  $\sim 3\%$



Branching fraction and Form factors measurements:  
 Two identical  $\pi^0 \rightarrow$  only **ONE** form factor  $F_s$

$$F_s = f_s + f_s' q^2 + f_s'' q^4 + f_e \left( S_e / 4m_\pi^2 \right) + ..$$



**Branching fraction** : using 2003 data and  $(\pi^\pm \pi^0 \pi^0)$  as normalization channel, 9642 signal events ( $276 \pm 94$  background events)

$$BR(K_{e4}^{00}) = (2.587 \pm 0.026_{stat} \pm 0.019_{syst} \pm 0.029_{ext}) \times 10^{-5}$$

**Form factors** (2003+2004 data =  $\sim 37000$  events):  
Se dependence measurement consistent with 0.

$$f_s' / f_s = 0.129 \pm 0.036_{stat} \pm 0.020_{syst}$$

$$f_s'' / f_s = -0.040 \pm 0.034_{stat} \pm 0.020_{syst}$$

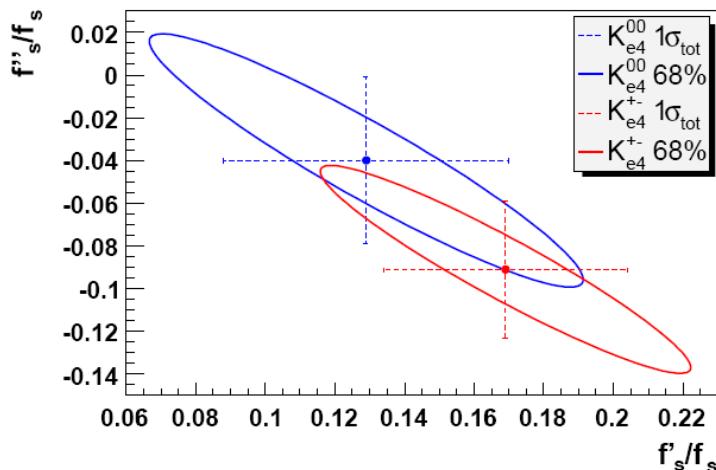
An improved measurement of the BR has been achieved, to be compared with recent published value

KEK-E470 : based on 216 signal events  $(2.29 \pm 0.33) 10^{-5}$

This measurement :

$$(2.587 \pm 0.026_{\text{stat}} \pm 0.019_{\text{syst}} \pm 0.029_{\text{ext}}) 10^{-5}$$

Form factors are measured, consistent with the charged Ke4 measurement



Errors are stat. + syst. assuming same correlation for both.

Using full statistics, the dominant error on BR will be the external error.

	$M_{\pi\pi}$ (GeV/c <sup>2</sup> )			$\delta$ (Radians)	
Bin	min	max	barycenter	value	stat err
1	0.2790	0.2913	0.2860712	0.0438014	0.04396
2	0.2913	0.3005	0.2959924	0.0798576	0.02458
3	0.3005	0.3092	0.3048783	0.0743034	0.02193
4	0.3092	0.3177	0.3134621	0.1303188	0.01815
5	0.3177	0.3263	0.3219863	0.1653183	0.01622
6	0.3263	0.3353	0.3307498	0.1774700	0.01447
7	0.3353	0.3451	0.3401080	0.2135623	0.01462
8	0.3451	0.3570	0.3508481	0.2493961	0.01379
9	0.3570	0.3733	0.3645354	0.2883120	0.01324
10	0.3733	0.4937	0.3900176	0.3378216	0.01240

- Part of statistics analysed so far
- Systematics uncertainties were investigated and given globally on the single extracted parameter  $a_{00}$  at center of UB.
- Now we can provide systematic uncertainty on individual  $\delta$  points to allow other approaches.

$$a_{00} (\text{UB}) = 0.258 \pm 0.008_{\text{stat}} \pm 0.007_{\text{syst}} \pm 0.018_{\text{Theory}}$$

Only 30 days from 2003 data were presented

237000 K+

132000 K-

Global approach, revisited and quoted on each point with bin to bin correlations

Here: full UB width  
But much more to be done with your help !!

Recall : systematics worked out **globally** for Conference results, components added in quadrature

error	method 1 or 2	accept	Bkg	e ID	Radco	Others params	Total
a0(UB)	0.002	0.002	0.001	0.006	—	0.003	0.007

**list of investigated systematics and possible bin to bin correlations**

uncorrelated systematics

- binning choice
- trigger efficiency
- acceptance control
- Background shape
- Electron id control
- Radiative corr
- Matrix element in bkg
- Sign dependent LDA eff.

bin to bin correlated systematics

- Background level
- $S_e$  dependence

Many **cross-checks** performed by sub-sampling according to various items

- electron impact point at LKr front face (check calorimeter response)
- Kaon charge.
- Achromat and spectrometer polarities
- Time intervals

Correct treatment of correlated errors using a **covariance matrix**

- To take correctly into account the bin to bin correlations for some systematic errors one should compute an error matrix and use the covariance matrix in the fit :

$$\chi^2 = \sum_{i,j} (x_i - y_i(a)) V_{ij} (x_j - y_j(a))$$

where  $x$  is the vector of measurements,  $y(a)$  the vector of fitted values for the parameter(s)  $a$  and  $V$  the covariance matrix of the measurements.

- One build the error matrix :

**diagonal terms** are the sum of the  $n$  uncorrelated errors squared,

$$E_{ii} = \sigma_{i1} \times \sigma_{i1} + \sigma_{i2} \times \sigma_{i2} + \dots + \sigma_{in} \times \sigma_{in}$$

**off diagonal terms** are the sum of the  $m$  correlated errors with a correlation coefficient  $\rho$  (equal to 1 for full correlation) and no cross correlation between different sources.

$$E_{ij} = (\rho_{ij1} \times \sigma_{i1} \times \sigma_{j1}) + \dots + (\rho_{ijm} \times \sigma_{im} \times \sigma_{jm})$$

- The **covariance matrix** is the inverse of the error matrix ( $10 \times 10$ )

If there are no off diagonal terms, the  $\chi^2$  is the "usual" one

$$\sum (x_i - y_i(a))^2 / \sigma_i^2$$

As most systematic uncertainties are bin to bin uncorrelated, the error matrix is **almost diagonal ( and symmetric)**, the non-diagonal terms being at least two orders of magnitude lower than the diagonal terms (**units are (mrad)<sup>2</sup>**)

bin	1	2	3	4	5	6	7	8	9	10
1	2144.51	7.31	1.19	6.50	4.97	1.59	4.36	0.96	0.88	0.40
2	7.31	715.90	0.95	4.01	3.20	1.10	2.72	0.69	0.60	0.28
3	1.19	0.95	635.21	0.83	0.82	0.36	0.59	0.25	0.19	0.09
4	6.50	4.01	0.83	408.61	2.83	0.97	2.41	0.60	0.53	0.24
5	4.97	3.20	0.82	2.83	378.73	0.85	1.93	0.55	0.46	0.21
6	1.59	1.10	0.36	0.97	0.85	286.86	0.67	0.23	0.18	0.08
7	4.36	2.72	0.59	2.41	1.93	0.67	279.13	0.42	0.37	0.17
8	0.96	0.69	0.25	0.60	0.55	0.23	0.42	276.98	0.12	0.06
9	0.88	0.60	0.19	0.53	0.46	0.18	0.37	0.12	211.15	0.04
10	0.40	0.28	0.09	0.24	0.21	0.08	0.17	0.06	0.04	177.38

Implementing the systematic errors one at a time, one can compute the covariance matrix and perform the UB center fit to get the corresponding error:

item	$a_0^0$ value at UB center	Total error	corresponding Systematic error
uncorrelated systematics $\oplus$ stat. bining choice	0.25902	0.008376	0.0008
trigger efficiency	0.25902	0.008370	0.0007
acceptance control	0.25847	0.008634	0.0022
Background shape	0.26001	0.008519	0.0017
Electron id control	0.25907	0.008826	0.0029
Radiative corr	0.25892	0.008386	0.0009
Matrix element in bkg	0.25903	0.008341	0.0000
Sign dependent LDA eff.	0.25942	0.008343	0.0002
bin to bin correlated systematics $\oplus$ stat. Background level	0.25912	0.008392	0.0009
$S_e$ dependence	0.25906	0.008356	0.0005
statistical error only	0.25903	0.008341	none
statistical error $\oplus$ uncor. syst.	0.25932	0.009397	0.004328
all errors	0.25942	0.009454	0.004450 quad.

Full Statistics from 2003 is available with same Ke4 selection and background (~0.5%) subtracted, should be ready for KAON2007

Data selected	K+	K-	all
S123	236839	131848	368687 (Conf. result)
S50	193214	106877	300091
<b>Grand total</b>	<b>430053</b>	<b>238725</b>	<b>668778 = ~80% more</b>

MC generated (for 267 runs = different beam/detector conditions)

accepted events	K+	K-	total
SS123	5.5 Millions	3.0 Millions	8.5 Millions
S50	4.5 Millions	2.6 Millions	7.1 Millions
<b>Grand total</b>	<b>10.0 Millions</b>	<b>5.6 Millions</b>	<b>15.6 Millions</b>

Ratio K+/K- ~ 1.8 both in Data and MC and run by run

Ratio MC/Data ~ 23. both for K+ and K- and run by run

Systematic uncertainties on individual phase points worked out including possible bin to bin correlations. Covariance matrix available for "fitters"

SS0 data will be included soon ,

Statistical error will be reduced : 0.008 → 0.006

Systematics to be checked : could decrease as well for the components with statistical origin : 0.005 conservative

Including 2004 data will require more time and efforts ..

Promissing progresses expected with your help in extraction of  $\pi\pi$  scattering lengths  $a_{00}$  AND  $a_{02}$